

### THE UNITED STATES PATENT TRADEMARK OFFICE

**Application Number:** 

08/975,243

Filing Date:

21 Nov 97

Applicants:

Stephen J. Brown

Application Title:

Computerized Reward System for Encouraging Participation in a Health Management Program

Art Unit:

not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on

April date of deposit

Marek Alboszta, Reg. No. 39,894

15 April '98 date

### VERIFIED STATEMENT

Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

On April 14, 1998, we received a Notice to File Missing Parts, No Filing Date stating the filing fee was insufficient. On November 21, 1997, we filed the above mentioned application in full as shown by the dated return post card. On February 18, 1998, the Notice of Recordation was sent to us and attached was the original Power of Attorney and Small Entity Declaration. Therefore, on February 23, 1998, we mailed the original Power of Attorney and Small Entity Declaration back to the US Patent and Trademark Office via US regular mail with a verified statement. Therefore, kindly withdrawal the Notice to File Missing Parts, No Filing Date as the documents attached prove otherwise and grant the original filing date to the case.

Very respectfully,

Reg No. 39,894

Attorney Docket No: RYA-127

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(1) Fined 1.27(d)) - SMALL BUSINESS CONCERN

Application No.: not yet assigned

filed herewith

Filing Date: Applicant(s):

Title:

Stephen J. Brown

Computerized Kaward System for Encouraging Participation in a Health Management Program

I hereby declare that I am the owner of, or an official empowered to act on behalf of, the entity identified below:

Name of Concern:

Raya Systems, Inc.

Address of Concern:

2570 West El Camino Real, Suite 520

Mountain View, CA 94040

I hereby declare that the concern identified above qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above and described in the application for Letters Patent filed herewith.

If the rights held by the concern identified above are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name:	[	] Individual
Address:	[	] Small Business Concern
	[	] Nonprofit Organization

I acknowledge the duty to file, in this application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ASSIGNEE: Raya Systems, Inc.

2570 West El Camino Real, Suite 520

Mountain View, CA 94040

Official Authorized to Act on Behalf of Assignee:

Signature: Stephen J. Brown Name: Title: President

Small Entity Declaration-Small Business, page 1 of 1



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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date of deposit

Marek Alboszta, Reg. No. 39,894

23 Feb. '98

date

## **VERIFIED STATEMENT**

Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

Kindly accept and record the original Power of Attorney by Assignee and Small Entity Declaration submitted herewith in the above application which were erroneously mailed back to our offices after recordation of the Assignment. Upon receipt of the Notice of Recordation of Assignment on 18 Feb 1998, attached was the original Power of Attorney by Assignee and Small Entity Declaration. Also enclosed is a copy of the Notice of Recordation of Assignment showing the mail stamp verifying the date of receipt in the Patent and Trademark Office.

Very respectfully,

Marek Alboszta Reg No. 39,894